

FORM PTO-1390 (Modified)
(REV 11-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

218936US0PCT

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

10/069721

INTERNATIONAL APPLICATION NO.

PCT/EP00/07480

INTERNATIONAL FILING DATE

2 August 2000

PRIORITY DATE CLAIMED

30 August 1999

TITLE OF INVENTION

A POLYMER COMPOSITION AND A PROCESS FOR PRODUCING SAME

APPLICANT(S) FOR DO/EO/US

BREHM Helmut et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☒ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 20 below concern document(s) or information included:

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
20. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
22. ☐ Certificate of Mailing by Express Mail
23. ☒ Other items or information:

**Notice of Priority/Form PTO-1449
Amended Sheets (Page 24)**

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.101) 107069721		INTERNATIONAL APPLICATION NO. PCT/EP00/07480		ATTORNEY'S DOCKET NUMBER 218936US0PCT	
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24. The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) : <input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1040.00 <input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$890.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$740.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$710.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00 ENTER APPROPRIATE BASIC FEE AMOUNT =				CALCULATIONS PTO USE ONLY <div style="border: 1px solid black; height: 100px; width: 100%;"></div>	
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492 (e)). <input type="checkbox"/> 20 <input type="checkbox"/> 30				\$0.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	17 - 20 =	0	x \$18.00	\$0.00	
Independent claims	2 - 3 =	0	x \$84.00	\$0.00	
Multiple Dependent Claims (check if applicable). <input type="checkbox"/>				\$0.00	
TOTAL OF ABOVE CALCULATIONS =				\$890.00	
Applicant claims small entity status. See 37 CFR 1.27). The fees indicated above are reduced by 1/2.				\$0.00	
SUBTOTAL =				\$890.00	
Processing fee of \$130.00 for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492 (f)). <input type="checkbox"/> 20 <input type="checkbox"/> 30				\$0.00	
TOTAL NATIONAL FEE =				\$890.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). <input type="checkbox"/>				\$0.00	
TOTAL FEES ENCLOSED =				\$890.00	
				Amount to be refunded	\$
				charged	\$

a. ☒ A check in the amount of \$890.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed.


c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 15-0030 A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Surinder Sachar
 Registration No. 34,423



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SIGNATURE

Norman F. Oblon
NAME

24,618
REGISTRATION NUMBER

Feb. 28 2002
DATE

218936US-0PCT

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF :
HELMUT BREHM ET AL : ATTN: APPLICATION DIVISION
SERIAL NO: NEW U.S. PCT APPLN :
(Based on PCT/EP00/07480)
FILED: HEREWITH :
FOR: A POLYMER COMPOSITION AND
A PROCESS FOR PRODUCING SAME

PRELIMINARY AMENDMENT

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Prior to examination on the merits, please amend the above-identified application as follows.

IN THE TITLE

Please delete the title and replace with the following title:

POLYMERIZATE COMPOSITION AND A METHOD FOR PRODUCING THE SAME

IN THE CLAIMS

Please cancel Claim 8.

Please amend the claims as shown on the marked-up copy following this amendment to read as follows.

1. (Amended) A water-soluble polymer composition obtained by continuous polymerization of at least one unsaturated monomer, wherein said polymerization at least one parameter biasing the polymerization is varied according to a recurrent pattern.

2. (Amended) The polymer composition according to claim 1, wherein the pattern is an oscillation about a mean value which can be selected at random.

3. (Amended) The polymer composition according to claim 2, wherein the oscillation is harmonic or anharmonic.

4. (Amended) The polymer composition according to claim 1, wherein at least one of the following parameters is subject to variation:

- a concentration of at least one monomer,
- an amount of a catalyst,
- an amount of a molecular weight modifier,
- a pH value of a monomer solution, or
- a composition of said monomer solution.

5. (Amended) The polymer composition according to claim 1, wherein the polymerization is effected on a moving support.

6. (Amended) The polymer composition according to claim 1, wherein the composition is a co-polymer or terpolymer composition.

7. (Amended) The polymer composition according to claim 1, wherein the composition is a non-ionogenic, anionic or cationic polymer composition.

9. (Amended) A process for the continuous production of a water-soluble polymer composition, said process comprising

polymerizing at least one unsaturated monomer, wherein at least one parameter biasing said polymerization is varied according to a recurrent pattern.

10. (Amended) The process according to claim 9, wherein the pattern is an oscillation about a mean value which can be selected at random.

11. (Amended) The process according to claim 10, wherein the oscillation is harmonic or anharmonic.

12. (Amended) The process according to claim 9, wherein at least one of the following parameters is subject to variation:

- a concentration of at least one monomer,
- an amount of a catalyst,
- an amount of a molecular weight modifier,
- a pH value of a monomer solution, or
- a composition of said at least one monomer.

13. (Amended) The process according to claim 9, wherein the polymerization is effected on a moving support.

14. (Amended) The process according to claim 9, wherein the polymer composition is powdered subsequent to polymerization.

Please add the following new claims.

15. (New) The polymer composition claimed in claim 1, wherein the composition is in a powdered form.

16. (New) The polymer composition claimed in claim 3, wherein the oscillation is undamped.

17. (New) The process as claimed in claim 11, wherein the oscillation is undamped.

18. (New) A process for treating a suspension comprising adding the polymer composition claimed in claim 1 to said suspension, wherein said polymer composition flocculates or dewateres said suspension.

REMARKS

Claims 1-7 and 9-18 are active in the present application. Claims 1-7 and 9-14 have been amended for clarity and to remove multiple dependencies. Claims 15-18 are new claims. Support for the new claims is found in the original claims. No new matter is added. An action on the merits and allowance of claims is solicited.

Respectfully submitted,

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Marked-Up Copy

Serial No:

Amendment Filed on:

2-28-2002

IN THE TITLE

Please delete the title and replace with the following title:

[A POLYMER COMPOSITION AND A PROCESS FOR PRODUCING SAME]

--POLYMERIZATE COMPOSITION AND A METHOD FOR PRODUCING THE
SAME--

IN THE CLAIMS

Claim 8 (Cancelled).

Please amend the claims as follows.

--1. (Amended) [Water-soluble] A water-soluble polymer composition [, preferably powdered polymer compositions which can be] obtained by continuous polymerization of at least one unsaturated monomer, wherein said polymerization at least one parameter biasing the polymerization [being] is varied according to a recurrent pattern.

2. (Amended) The polymer [compositions] composition according to claim 1, [characterized in that said] wherein the pattern is an oscillation about a mean value which can be selected at random.

3. (Amended) The polymer [compositions] composition according to claim 2, [characterized in that] wherein the oscillation is harmonic or anharmonic [and preferably undamped].

4. (Amended) The polymer [compositions] composition according to [any of claims 1 to 3, characterized in that] claim 1, wherein at least one of the following parameters is subject to variation:

- [the] a concentration of [monomer(s),] at least one monomer,
- [the] an amount of a catalyst,
- [the] an amount of a molecular weight modifier,
- [the] a pH value of [the] a monomer solution, [and/or] or
- [the] a composition of [the] said monomer solution.

5. (Amended) The polymer [compositions] composition according to [any of claims 1 to 4, characterized in that] claim 1, wherein the polymerization is effected on a moving support.

6. (Amended) The polymer [compositions] composition according to [any of claims 1 to 5, characterized in that said compositions are] claim 1, wherein the composition is a copolymer [co-] or terpolymer [compositions] composition.

7. (Amended) The polymer [compositions] composition according to [any of claims 1 to 6, characterized in that said compositions are] claim 1, wherein the composition is a non-ionogenic, anionic or cationic polymer [compositions] composition.

9. (Amended) A process for the continuous production of a water-soluble polymer [compositions by] composition, said process comprising

polymerizing at least one unsaturated monomer, [characterized in that] wherein at least one parameter biasing [the] said polymerization is varied according to a recurrent pattern.

10. (Amended) The process according to claim 9, [characterized in that said] wherein the pattern is an oscillation about a mean value which can be selected at random.

11. (Amended) The process according to claim 10, [characterized in that] wherein the oscillation is harmonic or anharmonic [and preferably undamped].

12. (Amended) The process according to [any of claims 9 to 11, characterized in that] claim 9, wherein at least one of the following parameters is subject to variation:

- [the] a concentration of [monomer(s),] at least one monomer,
- [the] an amount of a catalyst,
- [the] an amount of a molecular weight modifier,
- [the] a pH value of [the] a monomer solution, [and/or] or
- [the] a composition of [the monomers employed] said at least one monomer.

13. (Amended) The process according to [any of claims 9 to 12, characterized in that] claim 9, wherein the polymerization is effected on a moving support.

14. (Amended) The process according to [any of claims 9 to 13, characterized in that] claim 9, wherein the polymer composition is powdered subsequent to polymerization.--

Claims 15-18 (New).

A Polymer Composition and a Process for Producing Same

The present invention relates to water-soluble, preferably powdered polymer compositions which can be obtained by continuous polymerization of at least one unsaturated monomer, and to the use thereof. The present invention also relates to a process for producing said polymer compositions.

Polymers of non-ionogenic, anionic and cationic vinyl monomers are being used as flocculants in sewage treatment, ore and coal processing, and in papermaking.

For example, the flocculants are used to speed up the dewatering of solids-bearing sludge liquors such as sewage sludge. Chamber filter presses, centrifuges or screen belt presses or the like are used as dewatering machines.

In order to achieve high throughput rates on the above-mentioned machines with optimum dewatering and clearness of filtrate (centrifugate), type and amount of flocculant must be adjusted carefully to the sludge, because the sludges to be dewatered have varying compositions depending on their origin, e.g. from harbors, ponds or municipal sewage plants.

However, even in the event of one particular type of sludge, changes in the sludge components may alter the dewatering behavior thereof and thus may give rise to insufficient dewatering or increasing turbidity of the filtrate in the case of constant flocculant metering. In particular, these changes become apparent in the sewage purification of mixtures from municipal and industrial waste waters. In the event of changing sewage components, however, simply increasing the amount of flocculant frequently is not sufficient to maintain the quality of dewatering and filtrate.

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In such cases, another flocculant having a higher or lower charge or a higher or lower molar weight must be used.

For example, WO 95/33697 suggests a process for dewatering suspensions, wherein two different dewatering aids are metered successively. A low molecular weight, ionic polymer is intended to coagulate the turbidities, while the high molecular weight polymer causes flocculation, the amount of low molecular weight ionic polymer being continuously adjusted to the sludge composition, i.e., to the result of dewatering. Nevertheless, the high molecular weight polymer effecting flocculation must also be adjusted to the sludge with respect to molar weight and charge. According to the above-mentioned patent application, copolymers constituted of from 2.5 mole-% cationic monomer and 97.5 mole-% acrylamide to 65 mole-% cationic monomer and 35 mole-% acrylamide are possible.

The object therefore is to provide a flocculant permitting a wider spectrum of various solids to be separated from suspensions more rapidly and with a higher degree of settling, which flocculant is less sensitive to turbidity changes.

According to the invention, said object is accomplished by providing a water-soluble, preferably powdered polymer composition which can be obtained by continuous polymerization of at least one unsaturated monomer, at least one parameter biasing the polymerization being varied according to a recurrent pattern.

Continuous polymerization is performed according to any process known to those skilled in the art. The polymerization preferably is a solution polymerization. The continuous polymerization preferably is carried out on a moving support as taught e.g. in EP 0,296,331 B1 or EP

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0,228,638 B1 which hereby are incorporated by reference and thus are deemed to be part of the disclosure. In EP 0,296,331 B1 or EP 0,228,638 B1, the moving support is a conveying belt onto which an aqueous monomer solution made free of oxygen is metered, which solution undergoes polymerization upon addition of catalyst to form a solid gel, liberating the heat of polymerization. At the end of the conveying belt, the solid gel is removed and preferably crumbled, dried, ground and screened continuously according to well-known procedures.

The monomers to be used can be non-ionogenic, anionic or cationic. Preferred monomers are (meth)acrylic acid and salts thereof, (meth)acrylic acid esters, (meth)acrylic amides, 2-acrylamido-2-methylpropanoic acid and salts thereof, allylsulfonic acid and salts thereof, as well as diallyldialkylammonium chlorides. Particularly preferred monomers are acrylamide, acrylic acid and salts thereof, dialkylaminoalkyl (meth)acrylates and dialkylaminoalkyl(meth)acrylamides as salts or in quaternized form.

The monomers can be polymerized alone in solution or, in order to obtain co- and terpolymer compositions, as a mixture with other monomers. Those skilled in the art will recognize that more than three monomers can also be polymerized together.

The polymerization can be initiated by chemical catalysis and/or high-energy radiation/light (e.g. UV light). Preferred catalysts are organic and inorganic per-compounds such as persulfates and alkyl (hydro)peroxides in combination with reducing substances such as alkali sulfites, optionally with addition of ferrous salts. Preferred photoinitiators are 2,2'-azobis(2-amidinopropane) hydrochloride and/or benzoin derivatives.

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According to the invention, at least one parameter biasing the polymerization is to be varied according to a recurrent pattern.

In the meaning of the invention, "according to a recurrent pattern" means that the parameters biasing the polymerization are varied in any desired manner, but at regularly recurring time intervals within a reasonable range familiar to those skilled in the art, and preferably in a continuous fashion.

The pattern preferably is an oscillation about a mean value selectable at random. Said oscillation preferably is harmonic or anharmonic and preferably undamped.

The parameters preferably are varied prior to starting the polymerization, e.g., in the feed of the preferably aqueous monomer solution to the moving support, the following parameters preferably being subject to variation:

- a) the composition of the monomer solution in the production of co- and terpolymers by varying the amount of at least one monomer according to a recurrent pattern,
- b) the concentration of the monomer solution by varying the metered amount of one monomer of a higher and/or lower concentration according to a recurrent pattern,
- c) the amount of catalyst by increasing and reducing the concentration of catalyst or catalyst system according to a recurrent pattern,
- d) the amount of molecular weight modifier by increasing and reducing the amount of molecular weight modifier solution according to a recurrent pattern,

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- e) the pH value of the monomer solution by increasing and reducing the acid or alkali metering according to a recurrent pattern.

Among these parameters, one or more can be varied simultaneously or at time intervals.

Preferably, the pattern is an oscillation about a mean value that can be selected at random. Amplitude and frequency of the oscillation can be selected at random. While the frequency, i.e., the time during which the pattern of varying a polymerization parameter is carried out once, is determined by the sizing of the plant components, the amplitude, i.e., the level of the continuously performed variation, is crucial for the application-technical properties of the polymer composition.

Those skilled in the art will recognize that varying one parameter may result in the variation of other polymerization parameters. When varying the amount of catalyst metering in the reactor feed, for example, the concentration of free radicals in the monomer solution and thus, the polymerization rate on the polymerization belt will be subject to continuous change which can be recognized in an oscillating temperature profile.

In a particularly advantageous fashion, the polymer composition according to the invention can be used in the flocculation preferably of waterborne substances and/or in dewatering of suspensions. Therefore, the present invention is also directed to such uses.

The present invention is also directed to a process for the continuous production of polymer compositions by polymerizing at least one unsaturated monomer, wherein at least one

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parameter biasing the polymerization is varied according to a recurrent pattern.

The polymerization is performed according to any process known to those skilled in the art. The polymerization preferably is a solution polymerization. However, the polymerization preferably is carried out on a moving support as taught e.g. in EP 0,296,331 B1 or EP 0,228,638 B1 which hereby are incorporated by reference and thus are deemed to be part of the disclosure. In EP 0,296,331 B1 or EP 0,228,638 B1, the moving support is a conveying belt onto which an aqueous monomer solution made free of oxygen is metered, which solution undergoes polymerization upon addition of catalyst to form a solid gel, liberating the heat of polymerization. At the end of the conveying belt, the solid gel is removed and preferably crumbled, dried, ground and screened continuously according to well-known procedures.

The monomer can be any monomer, preferably an unsaturated monomer. However, preferred monomers are (meth)acrylic acid and salts thereof, (meth)acrylic acid esters, (meth)acrylic amides, 2-acrylamido-2-methylpropanoic acid and salts thereof, allylsulfonic acid and salts thereof, as well as diallyldialkylammonium chlorides. Particularly preferred monomers are acrylamide, acrylic acid and salts thereof, dialkylaminoalkyl (meth)acrylates and dialkylaminoalkyl-(meth)acrylamides as salts or in quaternized form.

The monomers can be polymerized alone in solution or, in order to obtain co- and terpolymer compositions, as a mixture with other monomers. Those skilled in the art will recognize that more than three monomers can also be polymerized together.

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The polymerization can be initiated by chemical catalysis and/or high-energy radiation/light (e.g. UV light). Preferred catalysts are organic and inorganic per-compounds such as persulfates and alkyl (hydro)peroxides in combination with reducing substances such as alkali sulfites, optionally with addition of ferrous salts. Preferred photoinitiators are 2,2'-azobis(2-amidinopropane) hydrochloride and/or benzoin derivatives.

According to the invention, at least one parameter biasing the polymerization is varied according to a recurrent pattern.

In the meaning of the invention, "according to a recurrent pattern" means that the parameters biasing the polymerization are varied in any desired manner, but at regularly recurring time intervals within a reasonable range familiar to those skilled in the art, and preferably in a continuous fashion.

The pattern preferably is an oscillation about a mean value selectable at random. Said oscillation preferably is harmonic or anharmonic and preferably undamped.

The parameters preferably are varied prior to starting the polymerization, e.g. in the feed of the preferably aqueous monomer solution to the moving support, the following parameters preferably being subject to variation:

- a) the composition of the monomer solution in the production of co- and terpolymers by varying the amount of at least one monomer according to a recurrent pattern,
- b) the concentration of the monomer solution by varying the metered amount of one monomer of a higher and/or lower concentration according to a recurrent pattern,

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- c) the amount of catalyst by increasing and reducing the concentration of catalyst or catalyst system according to a recurrent pattern,
- d) the amount of molecular weight modifier by increasing and reducing the amount of molecular weight modifier solution according to a recurrent pattern,
- e) the pH value of the monomer solution by increasing and reducing the acid or alkali metering according to a recurrent pattern.

Among these parameters, one or more can be varied simultaneously or at time intervals.

Preferably, the pattern is an oscillation about a mean value that can be selected at random. Amplitude and frequency of the oscillation can be selected at random. While the frequency, i.e., the time during which the pattern of varying a polymerization parameter is carried out once, is determined by the sizing of the plant components, the amplitude, i.e., the level of the continuously performed variation, is crucial for the application-technical properties of the polymer composition.

Those skilled in the art will recognize that varying one parameter may result in the variation of other polymerization parameters. When varying the amount of catalyst metering in the reactor feed, for example, the concentration of free radicals in the monomer solution and thus, the polymerization rate on the polymerization belt will be subject to continuous change which can be recognized in an oscillating temperature profile.

To carry out said process variant, the continuous polymerization described in EP 0,296,331, Example 4 and Fig. 2 is

modified in such a way that the mass flow of catalyst solutions is varied by a regulator via metering valves 28 and 30 according to a preselected pattern at regular time intervals in a recurring fashion.

In a preferred embodiment of the process according to the invention, a constant amount of a catalyst solution is metered into the constant feed flow of an acrylamide solution purged with nitrogen or of a monomer solution of acrylamide and a cationic monomer. A solution of a cationic monomer is metered from another reservoir in an amount continuously varying in the form of a sine-shaped oscillation. As a result of the exothermic reaction, the varying monomer concentration gives rise to a continuously changing temperature in the polymer product on the polymerization belt. Samples taken at the end of the dryer at intervals of 5 minutes clearly show the varying cationic properties oscillating about a mean value.

In another preferred embodiment of the process according to the invention, a molecular weight modifier such as formic acid, acetic acid or isopropanol is metered into a cationic monomer solution and varied according to a recurrent pattern. This procedure results in a polymer composition having a molecular weight range of from low-molecular weight, high-cationic up to high-molecular weight, low-cationic polymer components.

In another preferred embodiment of the process according to the invention, a monomer solution supplied to the polymerization belt at a constant flow and containing a non-ionogenic and a cationic monomer, as well as the catalyst and a molecular weight modifier, is additionally metered with a periodically varying amount of said non-ionogenic monomer. The copolymer obtained not only varies in its composition or charge density according to a recurrent pat-

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tern, but also in its molar weight or its solution viscosity.

Another preferred embodiment of the process according to the invention is the production of terpolymer compositions. For example, a constant flow of a monomer solution supplied to the polymerization belt and made up of acrylamide, quaternized dimethylaminopropylacrylamide, at least one catalyst and a molecular weight modifier is metered with an amount of a solution of quaternized dimethylaminoethyl acrylate varying according to a recurrent pattern. In this process variant, the concentrations of molecular weight modifier and catalyst inevitably undergo variations. To avoid this, the solution of quaternized dimethylaminoethyl acrylate also must include molecular weight modifier and/or catalyst.

In another preferred embodiment, the polymerization is performed on two polymerization belts operated in parallel. On the polymerization belts, the parameters biasing the polymerization are varied in a phase-shifted fashion, i.e., when the amount of monomer on the one polymerization unit is about to reach a maximum, the amount on the other polymerization unit is about to reach a minimum. At the end of the polymerization units, the two polymer strands, preferably polymer gels, merely are supplied to a dryer.

By virtue of the above procedure, the amount of polymer supplied to the dryer will be nearly constant, and excessive or insufficient drying in the dryer is avoided. Furthermore, problems in downstream mills resulting from varying water content and thus fluctuating grain elasticity will be reduced.

The polymer composition of the invention has the advantage of easy production and a wide range e.g. of molecular

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weights and/or ionogenicities. The polymer composition of the invention is particularly suitable as flocculant and/or in dewatering suspensions wherein the polymer composition of the invention allows a wide spectrum of different solids to be removed from suspensions more rapidly and with a higher degree of settling and responds less sensitively to changes in turbidity compared to prior art polymers. A large number of problems related to flocculation and dewatering can be solved using a very small number of polymer compositions.

Using the process according to the invention, it is possible to produce polymer compositions having a wide range of properties. Established processes can easily be adapted to the process of the invention. Expensive control technology required to maintain specific parameters at a constant level is no longer necessary.

The invention will be illustrated with reference to the examples hereinbelow which, however, are not intended to limit the general idea of the invention.

The viscosities and charge densities specified in the examples were determined according to the procedures set forth below.

Determination of the viscosity:

3.4±0.01 g of product is weighed in a 400 ml beaker. Thereafter, 302.6±0.1 g of demineralized water (22±3°C) is added in such a way that the product is dispersed in the solvation water without lumps being formed. The solution then requires immediate stirring with a finger blade agitator. The time for dissolution is 60 minutes at 200±10 rpm.

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Thereafter, 34 ± 0.1 g of NaCl is added, dispersed for about 1 minute at a speed of 300 - 500 rpm and dissolved within 15 minutes by additional stirring at 200 ± 10 rpm. After adjusting the temperature to $20 \pm 1^\circ\text{C}$, a spindle No. 1 is immersed slowly without stirring up the solution, and the viscosity is determined on a Brookfield viscosimeter at 10 rpm.

Determination of the charge density

The charge density determination is carried out on a particle charge detector PCD 02 from Mutek Co./Herrsching, Germany. In polyelectrolyte titration (Titrator DL 21, Mettler Co.), titration up to the isoelectric point or inflection point is effected using counter-polyions (polyethylene sulfonate Na, polydiallyldimethylammonium chloride).

The titrator provides charge density data in mmol polyion/g polymer. Conversion using the molar mass of the monomer employed furnishes the ionogenicity in weight percent.

The sludge dewatering capability is determined using the procedure described below:

Determination of dewatering on high performance centrifuges

500 ml of a 0.1% polymer solution is sheared for 60 seconds with an Ultra Turrax T 25 N with dispersing tool S 25 N-18G (Janke & Kunkel Co./D-79217 Staufen) at 24,000 rpm. 500 ml of a sludge is mixed in a stirred vessel with the polymer solution with stirring for 10 seconds at 1000 rpm using a 3-finger blade agitator and subsequently placed on a screen having a diameter of 150 mm and a mesh width of 0.2 mm. What is measured is the flow time for 200 ml of filtrate and the clarity of the filtrate using a clarity wedge.

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Zero clarity represents no clarification and a clarity of 46 best clarification.

At least three amounts of addition are tested, e.g. 120, 140 and 160 g of polymer per m³ sludge. Depending on the test result, the series is expanded in the direction of less or more polymer.

The following abbreviations will be used throughout the description of the examples:

Abbreviations:

ACA	Acrylamide
ABAH	2,2'-Azobis(2-amidinopropane) hydrochloride
DIMAPA quat.	Dimethylaminopropylacrylamide quaternized with methyl chloride
DIMAEA quat.	Dimethylaminoethyl acrylate quaternized with methyl chloride
V 80	Versenex 80 (The DOW Chem. Comp.)

Example 1

The production of an inventive polymer composition from ACA and DIMAPA quat. is effected using continuous photopolymerization. The illuminance is about 2000 $\mu\text{W}/\text{cm}^2$ at a wavelength of 365 nm.

Batch:

2,250 kg soft water
660 kg DIMAPA quat. 60%
3,074 kg ACA solution 50%
1.4 kg V 80
ca. 1 kg sulfuric acid 50%
150 kg rinsing water

6,136.4 kg monomer solution, pH: 4

The monomer solution purged with nitrogen (oxygen content: 0.6 ppm) continuously flows at 320 kg/h and at a temperature of 0°C to the polymerization belt. Into this feed 1, the following feeds are metered via a static mixer:

Feed 2: 7 l/h of a 2% ABAH solution

Feed 3: 7.9 l/h of a 1% formic acid

Feed 4: 60% DIMAPA quat. solution, the metering rate of which is varied with a steady increase from 11.5 kg/h to 95 kg/h and with a steady decrease back to 11.5 kg/h over a time period of 60 minutes. This pattern of varying amounts is maintained throughout the entire test period.

Following a residence time of 40 minutes on a polymerization belt, the solid polymer gel is reduced in size in a meat grinder and dried on a belt dryer at an inlet air temperature of 120°C in zones 1 and 2, 115°C in zone 3, and 95°C in zones 4 and 5.

Samples taken at the end of the dryer at intervals of 5 minutes exhibit the following characteristics:

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1. Sampling after a 1 hour test period

Time (min)	0	5	10	15	20	25	30	35	40	45	50	55	60
Viscosity (mPa·s)	640	635	610	625	620	640	600	555	620	670	660	700	650
Ionogenicity (%)	42.8	37.8	30.8	25.7	24.8	30.7	35.3	41.3	47.4	47.5	47	44.6	41

2. Sampling after a 6 hours test period

Time (min)	0	5	10	15	20	25	30	35	40	45	50	55	60
Viscosity (mPa·s)	710	610	640	630	650	635	605	610	655	650	650	620	660
Ionogenicity (%)	46.5	43.3	34.5	33.2	25	26.4	30.3	35.9	42.2	48.4	49.9	49.7	46.4

The milled silo sample screened to 150 - 1000 μm (mixed sample) has a viscosity of 630 mPa·s and an ionogenicity of 40.9%.

Example 2

The production of an inventive polymer composition from ACA and DIMAPA quat. is effected using continuous photopolymerization. The illuminance is about 2000 $\mu\text{W}/\text{cm}^2$ at a wavelength of 365 nm.

Batch:

2,120 kg soft water
1,500 kg DIMAPA quat. 60%
2,200 kg ACA solution 50%
1.5 kg V 80
ca. 2 kg sulfuric acid 50%
150 kg rinsing water

5,973.5 kg monomer solution, pH: 3.8

The monomer solution purged with nitrogen (oxygen content: 0.8 ppm) continuously flows at 320 kg/h and at a temperature of 3°C to the polymerization belt. Into this feed 1, the following feeds are metered via a static mixer:

Feed 2: 7.8 l/h of a 1.5% ABAH solution

Feed 3: 10.9 l/h of a 1.0% formic acid

Feed 4: 60% DIMAPA quat. solution, the metering rate of which is varied with a steady increase from 12.8 kg/h to 95 kg/h and with a steady decrease back to 12.8 kg/h over a time period of 60 minutes. This pattern of varying amounts is maintained throughout the entire test period.

Following a residence time of 45 minutes, the solid polymer gel is reduced in size in a meat grinder and dried on a belt dryer at an inlet air temperature of 115°C in zones 1 and 2, 110°C in zone 3, and 95°C in zones 4 and 5.

Samples taken at the end of the dryer at intervals of 5 minutes exhibit the following characteristics:

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Time (min)	0	5	10	15	20	25	30	35	40	45	50	55	60
Viscosity (mPa·s)	435	435	445	450	460	500	500	450	480	470	470	430	425
Ionogenicity (%)	47.6	48.1	50.3	53.2	56.3	58.5	55.1	54.4	51.5	51.5	47.1	45.4	45.8

The milled silo sample screened to 150 - 1000 μm (mixed sample) has a viscosity of 470 mPa·s and an ionogenicity of 53%. The water content is 10.5%.

Example 3

The production of an inventive polymer composition from ACA and DIMAPA quat. is effected using continuous photopolymerization. The illuminance is about 2000 $\mu\text{W}/\text{cm}^2$ at a wavelength of 365 nm.

Batch:

2,150 kg soft water
 3,090 kg DIMAPA quat. 60%
 1,230 kg ACA solution 50%
 0.9 kg V 80
 ca. 2 kg sulfuric acid 50%
 150 kg rinsing water

6,522.9 kg monomer solution, pH: 3.8

350 kg/h of this monomer solution is cooled to 2°C in a heat exchanger and made free of dissolved oxygen to a residual content of 0.9 ppm in a stripper through which nitrogen is passed at 3 m³ per hour. Prior to placing on the polymerization belt, the following amounts of solutions are mixed with the above feed 1:

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Feed 2: 9.6 l/h of a 1.5% ABAH solution

Feed 3: 10.9 l/h of a 1.0% formic acid

Feed 4: 50% ACA solution containing 250 ppm V 80, the metering rate of which is varied with a steady increase from 17 kg/h to 120 kg/h and with a steady decrease back to 17 kg/h over a time period of 60 minutes. This pattern of varying amounts is maintained throughout the entire test period.

The polymer gel obtained after 40 minutes is reduced in size and dried as in Example 2.

Samples taken at the end of the dryer exhibit the following characteristics:

Time (min)	0	5	10	15	20	25	30	35	40	45	50	55	60
Viscosity (mPa·s)	440	460	430	440	405	370	350	330	330	330	325	370	420
Ionogenicity (%)	50.5	49	47.5	49	52	52.8	57.7	53.6	58.4	55.1	52.3	50.9	49.5

The silo sample (mixed sample) of the final product has a viscosity of 350 mPa·s and an ionogenicity of 53.0 wt.-%.

Example 4

The production of an inventive polymer composition from ACA, DIMAPA quat. and DIMAEA quat. is effected using continuous photopolymerization. The illuminance is about 2000 $\mu\text{W}/\text{cm}^2$ at a wavelength of 365 nm.

Batch:

2,120 kg soft water
1,500 kg DIMAPA quat. 60%
2,200 kg ACA solution 50%
1.5 kg V 80
ca. 2 kg sulfuric acid 50%
150 kg rinsing water

5,972.5 kg monomer solution, pH: 3.8

This monomer solution with 320 kg/h constitutes feed 1. It is cooled and purged with nitrogen as in Example 3. Upstream of the polymerization belt, the following feeds are added by mixing:

Feed 2: 7.8 l/h of a 1.5% ABAH solution

Feed 3: 10.9 l/h of a 1.0% formic acid

Feed 4: 80% DIMAEA quat. solution, the metering rate of which is varied with a steady increase from 15 kg/h to 95 kg/h and with a steady decrease back to 15 kg/h over a time period of 45 minutes. This pattern of varying amounts is maintained throughout the entire test period of about 18 hours.

The gel removed the polymerization belt after a residence time of 45 minutes is reduced in size and dried as in Example 2.

Samples taken at intervals at the end of the dryer exhibit the following characteristics:

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Time (min)	0	5	10	15	20	25	30	35	40	45
Viscosity (mPa·s)	555	590	530	585	545	560	530	520	530	550
Ionogenicity (%)	61.3	61.6	54	50.6	48	46.8	50.3	53.5	58.6	61

Downstream of the dryer, the polymer is milled, screened for the grain fraction of from 150 to 1000 μm and fed into a NAUTA mixer. A sample from the mixer has a viscosity of 540 mPa·s and an ionogenicity of 55%.

Application-technical examination (laboratory)

In this example, the dewatering capability of a sewage sludge from a clarification plant is compared when adding the polymer composition of the invention and polymers according to the prior art.

The sludge had a pH value of 7.1 and a dry substance of 3.5 wt.-%.

Added amount ¹⁾ g/m ³	Polymer from Example 3		Comparison 1 Praestol® 644 BC ²⁾ Viscosity: 365 mPa·s Ionogenicity: 52%		Comparison 2 Praestol® 650 BC ²⁾ Viscosity: 370 mPa·s Ionogenicity: 39%	
	Time for 200 ml filtrate (s)	Clarity Clarity wedge 0 - 46	Time for 200 ml filtrate (s)	Clarity Clarity wedge 0 - 46	Time for 200 ml filtrate (s)	Clarity Clarity wedge 0 - 46
160	29	26				
170	25	27				
180	18	29				
190			27	31		
200			24	33		
220			16	34		
260					50	26
280					31	30

¹⁾ Metering from a 0.1% solution.

²⁾ Non-inventive polymers of ACA/DIMAPA quat. manufactured by Stockhausen GmbH & Co. KG.

The polymer composition of the invention is found to permit removal of solids with virtually constant filtrate clarity and comparable rate, but less polymer employed.

Application-technical examination (clarification plant)

In this example, sludge from a clarification plant added with the polymer composition of the invention and prior art polymers is dewatered on a centrifuge.

Centrifuge: Type: Westfalia CA 505
 Speed: 3520 rpm

Sewage sludge: Amount: 29 m³/h
 Dry substance: 2.7%

Polymer	Polymer solution		Thickened sludge DS ³⁾ %	SD ⁴⁾ %
	Concentration g/l	Consumption m ³ /h		
From Example 2	5	1.31	33	98.6
Comparison 3 Praestol® ¹⁾ 853 BC	5	1.32	26.9	98.7
Comparison 43 Praestol® ¹⁾ A 7112	5	1.30	29.3	98.7

1) Non-inventive copolymer made up of ACA and DIMAPA quat., viscosity: 460 mPa-s, ionogenicity: 54%; manufacturer: Stockhausen GmbH & Co.KG.

2) Non-inventive copolymer made up of ACA and DIMAEA quat., viscosity: 505 mPa-s, ionogenicity: 63%; manufacturer: Stockhausen GmbH & Co.KG.

3) DS = Dry substance

4) SD = Settling degree

$$SD (\%) = \frac{DS_{\text{discharge}} \times (DS_{\text{feed}} - DS_{\text{centrifugate}}) \times 100}{DS_{\text{feed}} \times (DS_{\text{discharge}} - DS_{\text{centrifugate}})}$$

The polymer composition of the invention is found to achieve improved sludge thickening with unchanged settling degree and polymer addition.

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Claims:

1. Water-soluble, preferably powdered polymer compositions which can be obtained by continuous polymerization of at least one unsaturated monomer, at least one parameter biasing the polymerization being varied according to a recurrent pattern.
2. The polymer compositions according to claim 1, characterized in that said pattern is an oscillation about a mean value which can be selected at random.
3. The polymer compositions according to claim 2, characterized in that the oscillation is harmonic or anharmonic and preferably undamped.
4. The polymer compositions according to any of claims 1 to 3, characterized in that at least one of the following parameters is subject to variation:
 - the concentration of monomer(s),
 - the amount of catalyst,
 - the amount of molecular weight modifier,
 - the pH value of the monomer solution, and/or
 - the composition of the monomer solution.
5. The polymer compositions according to any of claims 1 to 4, characterized in that the polymerization is effected on a moving support.
6. The polymer compositions according to any of claims 1 to 5, characterized in that said compositions are co- or terpolymer compositions.

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7. The polymer compositions according to any of claims 1 to 6, characterized in that said compositions are non-ionogenic, anionic or cationic polymer compositions.
8. Use of the polymer compositions according to any of claims 1 to 7 in the flocculation and/or dewatering of suspensions.
9. A process for the continuous production of preferably water-soluble polymer compositions by polymerizing at least one unsaturated monomer, characterized in that at least one parameter biasing the polymerization is varied according to a recurrent pattern.
10. The process according to claim 9, characterized in that said pattern is an oscillation about a mean value which can be selected at random.
11. The process according to claim 10, characterized in that the oscillation is harmonic or anharmonic and preferably undamped.
12. The process according to any of claims 9 to 11, characterized in that at least one of the following parameters is subject to variation:
 - the concentration of monomer(s),
 - the amount of catalyst,
 - the amount of molecular weight modifier,
 - the pH value of the monomer solution, and/or
 - the composition of the monomers employed.
13. The process according to any of claims 9 to 12, characterized in that the polymerization is effected on a moving support.
14. The process according to any of claims 9 to 13, characterized in that the polymer composition is powdered subsequent to polymerization.

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Abstract:

The invention relates to water-soluble, preferably powder-form polymer compositions which are obtained by continuous polymerization of at least one unsaturated monomer. At least one parameter that influences the polymerization is varied according to a recurrent pattern. The invention also relates to the use of the inventive polymer compositions and to a method for producing them.

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Declaration, Power Of Attorney and Petition

Page 1 of 3

WE (I) the undersigned inventor(s), hereby declare(s) that:

My residence, post office address and citizenship are as stated below next to my name,

We (I) believe that we are (I am) the original, first, and joint (sole) inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled

Polymerizate composition and a method for producing the same

the specification of which

☐ is attached hereto.

☐ was filed on _____ as
Application Serial No. _____
and amended on _____.

☒ was filed as PCT international application

Number PCT/EP00/07480
on August 02, 2000,

and was amended under PCT Article 19

on _____ (if applicable).

We (I) hereby state that we (I) have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

We (I) acknowledge the duty to disclose information known to be material to the patentability of this application as defined in Section 1.56 of Title 37 Code of Federal Regulations.

We (I) hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed. Prior Foreign Application(s)

Application No.	Country	Day/Month/Year	Priority Claimed
<u>199 41 072.0</u>	<u>GERMANY</u>	<u>August 30, 1999</u>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
_____	_____	_____	<input type="checkbox"/> Yes <input type="checkbox"/> No
_____	_____	_____	<input type="checkbox"/> Yes <input type="checkbox"/> No
_____	_____	_____	<input type="checkbox"/> Yes <input type="checkbox"/> No

We (I) hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below.

_____	_____
(Application Number)	(Filing Date)
_____	_____
(Application Number)	(Filing Date)

We (I) hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

Application Serial No.	Filing Date	Status (pending, patented, abandoned)
_____	_____	_____
_____	_____	_____
_____	_____	_____

And we (I) hereby appoint: Norman F. Oblon, Reg. No. 24,618; Marvin J. Spivak, Reg. No. 24,913; C. Irvin McClelland, Reg. No. 21,124; Gregory J. Maier, Reg. No. 25,599; Arthur I. Neustadt, Reg. No. 24,854; Richard D. Kelly, Reg. No. 27,757; James D. Hamilton, Reg. No. 28,421; Eckhard H. Kuesters, Reg. No. 28,870; Robert T. Pous, Reg. No. 29,099; Charles L. Gholz, Reg. No. 26,395; Vincent J. Sunderdick, Reg. No. 29,004; William E. Beaumont, Reg. No. 30,996; Steven B. Kelber, Reg. No. 30,073; Robert F. Gnuse, Reg. No. 27,295; Jean-Paul Lavalleye, Reg. No. 31,451; Stephen G. Baxter, Reg. No. 32,884; Martin M. Zoltick, Reg. No. 35,745; Robert W. Hahl, Reg. No. 33,893; Richard L. Treanor, Reg. No. 36,379; Steven P. Weihrouch, Reg. No. 32,829; John T. Goolkasian, Reg. No. 26,142; Marc R. Labgold, Reg. No. 34,651; Richard L. Chinn, Reg. No. 34,305; Steven E. Lipman, Reg. No. 30,011; Carl E. Schlier, Reg. No. 34,426; James J. Kulbaski, Reg. No. 34,648; Catherine B. Richardson, Reg. No. 39,007; Richard A. Neifeld, Reg. No. 35,299; J. Derek Mason, Reg. No. 35,270; Surinder Sachar, Reg. No. 34,423; Sharon E. Crane, Reg. No. 36,113; Christina M. Gadiano, Reg. No. 37,628; Jeffrey B. McIntyre, Reg. No. 36,867; and Paul E. Rauch, Reg. No. 38,591; our (my) attorneys, with full powers of substitution and revocation, to prosecute this application and to transact all business in the Patent Office connected therewith; and we (I) hereby request that all correspondence regarding this application be sent to the firm of OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C., whose Post Office Address is: Fourth Floor, 1755 Jefferson Davis Highway, Arlington, Virginia 22202.

We (I) declare that all statements made herein of our (my) own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

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